

03/16/01

EXPRESS MAIL CERTIFICATE

31049-U.S. PAT. 03-19-01 A

hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Dave Glisson Express Mail No. EL 563 388 611 US
Signature *Dave Glisson* Date March 16, 2001

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO. 24353
Atty Docket No. UCSF-048CON
First Named Inventor German, et al.
Title: *Delivery of Therapeutic Gene Products by Intestinal Cell Expression*

Jc824 U.S. PAT. 09/18/1323 03/16/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form *in duplicate*
2. ☒ Specification Total Pages 50
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix

5. ☐ Microfiche Computer Program (*Appendix*)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

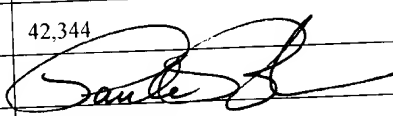
3. ☒ Drawing(s) (35 USC 113) Total Sheets 10
4. ☒ Oath or Declaration Total Sheets 6
(In Counter-Part)
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37, CFR 1.63(d)
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
c. ☐ Unsigned

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ Power of Attorney (copy from prior application)
9. ☐ English Translation Document (*if applicable*)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 0 Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(*Should be specifically itemized*)
13. ☒ Applicants are entitled to Small Entity status as defined under 37 CFR § 1.27
14. ☐ Certified Copy of Priority Document(s)
(*if foreign priority is claimed*)
15. ☒ Other: Application Cover Page
16. ☒ Applicant Claims small entity status. See 37 CFR 1.27

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☒ Continuation of prior application No. 09/254,988, Filed June 11, 1999, which is 371 of PCT/US97/16523, filed September 18, 1997, which is a Continuation-in-Part of application No. 08/717,084 filed September 19, 1996.

17. CORRESPONDENCE ADDRESS			
Individual Name	Paula A. Borden		
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Address	200 Middlefield Road, Suite 200		
City, State, Zip	Menlo Park, CA 94025		
Country	U.S.A.		
Telephone	(650) 327-3400	Facsimile	(650) 327-3231

SIGNATURE of Applicant or Assignee of Record	
Individual Name	Paula A. Borden
Registration No.	42,344
Signature	
Date	March 16, 2001

document\UCSF-048CON\Application trans-new utility.doc

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$435.00

Complete if Known

Application Number	Unassigned
Filing Date	Herewith (March 16, 2001)
First Named Inventor	German et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	UCSF-048CON

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-0815

Deposit Account Name

Bozicevic, Field & Francis LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			\$355.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	X 9.00 = 0.00	
Independent Claims	4 - 3** = 1	X 40.00 = 40.00	
Multiple Dependent		0.00 = 0.00	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$40.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
SUBTOTAL (3)			\$40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) Paula A. Borden

Signature

Registration No. (Attorney/Agent)

42,344

Complete (if applicable)

Telephone

650-327-3400

Date

March 16, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

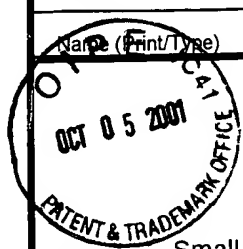
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

NO3CO#

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type) Cindy Hoang Signature *Cindy Hoang* Date 09-24-2001



TRANSMITTAL

☒ Small Entity ☐ Large Entity

Application Number 09/811,323
Confirmation Number 7184
Filing Date March 16, 2001
First Named Inventor GERMAN
Examiner To Be Assigned
Group Art 1615
Attorney Docket No. UCSF048CON

ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
Total					\$ -
Independent					\$ -
Multiple					
Total Extra Claim Fees					\$ -

☒ Applicants Petition for an Extension of time from 07-23-2001 to 08-23-2001 Fee \$ 195.00
A _ month extension was previously filed and paid for thereby reducing the basic fee

☒ Response to Notice of Incomplete Reply (with copy of formalities letter)

☐ Filing Fee

☐ Executed Declaration

☒ Other 1) Supplemental Preliminary Amendment and Response to Notice of Incomplete Reply (3 pgs.)

Fee
Surcharge Fee
Fee
Fee
Fee
Subtotal \$ -

☐ Information Disclosure Statement

☐ PTO Form 1449

☐ Copies of Cited References

☐ Other

Pages

Fee
Subtotal \$ -

☒ Response to Notice of Incomplete Reply (with copy of Notice to Comply)

☐ Sequence Listing Certification

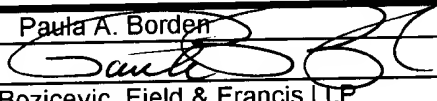
☐ Paper Copy of Sequence Listing

☐ Diskette in computer-readable format

☐ Other

10/10/2001 BABRAHA1 00000020 500815 09811323
Pages 01 FC:216 200.00 CH

Fee

<input type="checkbox"/> Terminal Disclaimer		Fee	
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group			
<input type="checkbox"/> Notice of Appeal	Pages	Fee	
<input type="checkbox"/> Appeal Brief in Triplicate	Pages	Fee	
<input type="checkbox"/> Reply Brief	Pages	Fee \$ -	
		Subtotal \$ -	
<input type="checkbox"/> Other Enclosures and/or Fees		Fee	
<input type="checkbox"/> Change of Correspondence Address			
<input checked="" type="checkbox"/> Return Receipt Postcard		TOTAL FEES \$ 195.00	
The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED			
Name (Print/Type)	Paula A. Borden	Registration No.	42,344
Signature		Date	09-24-2001
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200
City	Menlo Park	State	California
Telephone - Direct Dial	650-327-3400	Facsimile	650-327-3231

Application No. 09/811,323 Attorney Docket No. UCSF048CON Page 2 of 2